

# FSPIO06 – SQA Unit Code H5GS 04

## Measure and analyse the performance of investments



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### Overview

This unit relates to the measurement of investment performance and covers the role of gathering information about performance, together with analysing and presenting that information. Information about investment performance is obtained, for example, from price movements and market trends, which can then be analysed against the objectives of the fund/account. Results of this analysis need to be communicated, in an accepted presentation format, to fund managers, investment managers etc. You will need to use information and knowledge effectively, efficiently and ethically and pay attention to details that are critical to your work.

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### Performance criteria

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- You must be able to:*
- P1 Obtain and analyse information relating to fund or account performance
  - P2 Measure performance against objectives and other valid criteria using accepted tests
  - P3 Compare and contrast the actual and potential performances of the fund or account
  - P4 Ensure information on fund or account performance is complete, accurate and presented within agreed timescales
  - P5 Report results in the required format to the appropriate people
  - P6 Present an analysis of performance accurately and clearly
  - P7 Ensure results of performance measurement provide indications of future performance and recommendations for future action
  - P8 Comply with legal requirements, industry regulations, including ethical standards and health and safety, organisational policies and professional codes

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#### Knowledge and understanding

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*You need to know and understand:*

- K1 The structure of the investment market and the effect of market trends on fund/account performance
- K2 Key sources of reliable and relevant information in relation to fund/account performance
- K3 The use of statistical models for analysing information about fund/account performance
- K4 Regulatory requirements with regard to actual fund performance and predicting fund performance
- K5 The use of appropriate systems for measuring fund/account performance within your own organisation
- K6 The broad objectives of funds/accounts with which you are involved
- K7 Colleagues who are relevant to the work being carried out and their work roles and responsibilities
- K8 How to establish and maintain effective relationships with stakeholders, including the use of interpersonal and communication skills and the concepts of quality service delivery
- K9 Sources of data for fund/account performance are, including competitors' performance
- K10 How your organisation's requirements relating to the application of codes, laws and regulatory requirements, including ethical standards and health and safety impact on your activities

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#### **Additional Information**

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#### **Behaviours**

1. You recognise changes in circumstances promptly and take these into account in your work
2. You present information clearly and concisely

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