



Assessor's Guidelines for the SVQ2 Construction Operations and Civil Engineering Services (Construction): Highways Maintenance SCQF level 5 and SVQ2 Construction Operations and Civil Engineering Services (Construction): Roadbuilding SCQF level 5

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About this guide

This guide provides some practical examples of how to assess your candidates for the **SVQ2 Construction Operations and Civil Engineering Services (Construction): Highways Maintenance SCQF level 5 and SVQ2 Construction Operations and Civil Engineering Services (Construction): Roadbuilding SCQF level 5**. You may be able to think of other ways of assessing your candidates and recording your decisions about their competence.

Using assessments based on these examples does not guarantee successful verification — it is still your responsibility to ensure that internal quality assurance procedures are followed.

Introduction

This introduction provides a brief overview of SVQs and how they are assessed in the workplace. If you are already familiar with the concept of SVQs, you may wish to go to the next section.

About SVQs and the SCQF

Scottish Vocational Qualifications (SVQs) are work-based qualifications which set the level of occupational competence for each sector of the economy and are usually delivered in the workplace or in partnership with a college or other training provider. The qualifications have been designed by standards-setting bodies made up of experienced practitioners who represent employers, professional bodies, trade unions, education and voluntary organisations.

Each standards-setting body is responsible for developing national standards which define *what* employees (or potential employees) must be able to do, *how well*, and *in what circumstances*, to show that they are competent in their work.

Each SVQ which a standards-setting body develops has to fit into a broad framework which allows qualifications in the UK and throughout Europe to be compared.

There are SVQs for nearly all occupations in Scotland and they are available at SVQ levels 1–5. SVQs are currently notionally placed in the SCQF as the individual SVQs may be at differing SCQF levels and have differing amount of credit points, depending on the structure and context of the SVQ. SVQs are a means of recognising the skills and knowledge people need in employment, ie job competence. Successful completion of an SVQ provides clear evidence that the learner works to nationally recognised occupational standards.

Each Unit defines one aspect of a job or work-role, and says what it is to be competent in that aspect of the job. To be awarded a full SVQ, learners must achieve each of the SVQ Units which make it up by demonstrating that they are competent in that aspect of the job. The Units which make up the SVQ can also be taken as freestanding awards. Some SVQs or SVQ Units are incorporated into other awards or programmes including HNCs and Modern Apprenticeships.

Explanation of levels

SVQ1 (SCQF level 4)	Competence involves the application of knowledge and skills in the performance of a range of varied work activities, most of which may be routine or predictable.
SVQ2 (SCQF level 5)	Competence involves the application of knowledge and skills in a significant range of varied work activities, performed in a variety of contexts. At this level, there will be activities, which are complex or non-routine and there is some individual responsibility and autonomy. Collaboration with others, perhaps through membership of a work group or team, may often be a requirement.
SVQ3 (either SCQF level 6 or 7)	Competence involves the application of knowledge and skills in a broad range of varied work activities, most of which are complex and non-routine. There is considerable responsibility and autonomy, and control or guidance of others is often present.
SVQ4 (either SCQF level 8 or 9)	Competence involves the application of knowledge and skills in a broad range of complex technical or professional work activities, performed in a wide variety of contexts and with a substantial degree of personal responsibility and autonomy. Responsibility for the work of others and the allocation of resources is often present.
SVQ5 (SCQF level 11)	Competence involves the application of skills and a significant range of fundamental principles across a wide and often unpredictable variety of contexts. Very substantial personal autonomy and often significant responsibility for the work of others and for the allocation of substantial resources feature strongly, as do personal accountability.

For further information on SCQF go to **www.scqf.org.uk**.

How are standards defined in SVQs?

All SVQs consist of standards which can be broken down into various parts.

Units define the broad functions carried out in the sector, and are made up of a number of **Elements**. These **Elements** describe the activities which employees have to perform, and will require candidates to demonstrate certain skills or Knowledge and Understanding.

The quality of performance in what people must be able to do — how well they have to perform — is described by **Performance Criteria**. These may also be called **statements of competence** or **what candidates should do**.

The section on **Knowledge and Understanding** says what candidates must know and understand, and how this knowledge applies to their jobs.

You may also come across standards containing statements on **scope**. These statements could, for example, list the equipment that candidates are expected to be familiar with and use in their occupational area.

Increasingly, you may see changes to this format as standards become more user-friendly and are written in plain English. For example, there may be some standards containing **Range Statements** or **Evidence Requirements**, but over time these should disappear. You may, however, find that information on the context, nature and amount of evidence which is required to prove competence (which used to be given in Range Statements and Evidence Requirements) is now defined in the **assessment guidance** for the qualification. Assessment guidance is drawn up by the awarding body and is packaged along with the standards to form the SVQ.

Who is involved in SVQs?

There are several roles:

- ◆ **the candidate** the person who wants to achieve the SVQ (eg an employee)
- ◆ **the assessor*** the person who assesses the candidates and decides if they are competent (eg supervisor)
- ◆ **the internal verifier*** an individual nominated by the centre (eg a company) who ensures that assessors apply the standards uniformly and consistently (eg supervisor's line manager)
- ◆ **the External Verifier*** an individual appointed by SQA who ensures that standards are being applied uniformly and consistently across all centres offering the SVQ

*Assessors and verifiers in centres will be asked by SQA to prove they have the appropriate occupational competence to assess and verify the SVQ. Occupational competence has been defined by the standards-setting body in the Assessment Strategy for this SVQ(s) — see SQA’s website: www.sqa.org.uk.

Assessors and verifiers are also expected to obtain an appropriate qualification in assessment and verification — this can be the Learning and Development Units (the national standards for assessment and verification), or an alternative qualification which SQA also recognises.

The steps involved in assessing a candidate for an SVQ

In deciding whether a candidate should achieve an SVQ, you will go through these stages:

- ◆ planning for assessment
- ◆ generating and collecting evidence of the candidate’s competence in the Units
- ◆ judging the evidence of the candidate’s ability and making an assessment decision based on the evidence
- ◆ recording the assessment decision and the candidate’s achievement

1 The SVQ2 Construction Operations and Civil Engineering Services (Construction): Highways Maintenance SCQF level 5 and SVQ2 Construction Operations and Civil Engineering Services (Construction): Roadbuilding SCQF level 5

The SVQs in Construction Operations and Civil Engineering Services (Construction): Highways Maintenance and Roadbuilding have been developed by Sector Skills Council Construction Skills and are intended for direct labour and contract operatives serving the highway/roads network maintenance sector.

These people may be working as team leaders, where they will require skills and knowledge in segregating areas of highways works, excavations, black top-modular and concrete reinstatement, drain laying, vehicle safety fencing, protection of underground apparatus, operating powered tooling and plant, slinging and securing loads, constructing and dismantling trench edge supports, setting out works and work safely whilst maintaining the highway/road network.

The SVQs are designed to be assessed in the workplace, or in conditions of the workplace. Examples of the settings or centres in which the SVQs are likely to be delivered include colleges or centres where they have partnerships with contractors or roads authorities that give them access to road maintenance assessment opportunities.

Structure of the SVQs

This section lists the Units which form the SVQs in Construction Operations and Civil Engineering Services (Construction): Highways Maintenance and Roadbuilding.

SVQ2 Construction Operations and Civil Engineering Services (Construction): Highways Maintenance SCQF level 5 (GF1R 22)

Mandatory Units

SQA ref	SCQF level	SCQF credit points	SSC ref	Title
FN2J 04	6	12	VR 641	Conform to General Workplace Health, Safety and Welfare
FW0J 04	5	5	VR 642	Conform to Productive Work Practices

Plus one of these optional Units (all routes)

SQA ref	SCQF level	SCQF credit points	SSC ref	Title
DY16 04	5	10	VR 360	Establish Work Area Protection and Safety
F009 04	5	12	VR 365	Segregate the Area for Highways Works

Plus one of the following optional routes

Modular Pavement Construction route (three Units required)

SQA ref	SCQF level	SCQF credit points	SSC ref	Title
DY3G 04	5	14	VR 367	Lay Modular Pavement
F6Y5 04	5	7	VR 401	Set Out Secondary Dimensional Work Control
FN2V 04	5	5	VR 643	Move, Handle or Store Resources

Laying Kerbs and Channels route (three Units required)

SQA ref	SCQF level	SCQF credit points	SSC ref	Title
DY3D 04	5	14	VR 368	Lay Kerbs and Channels
F6Y5 04	5	7	VR 401	Set Out Secondary Dimensional Work Control
FN2V 04	5	5	VR 643	Move, Handle or Store Resources

General Building Operations route (three Units required)

SQA ref	SCQF level	SCQF credit points	SSC ref	Title
DYG3 04	5	14	VR 367	Lay Modular Pavements
DY3D 04	5	14	VR 368	Lay Kerbs and Channels
F6Y5 04	5	7	VR 401	Set Out Secondary Dimensional Work Control

Drainage Construction route (two Units required)

SQA ref	SCQF level	SCQF credit points	SSC ref	Title
F6Y5 04	5	7	VR 401	Set Out Secondary Dimensional Work Control
H0WY 04	5	19	VR 639	Install Drainage

Excavation and Reinstatement route (three Units required)

SQA ref	SCQF level	SCQF credit points	SSC ref	Title
DY3T 04	5	12	VR 372	Locate and Protect Utilities Apparatus and Sub-structures
DY18 04	5	10	VR 373	Excavate Holes and Trenches
DY9N 04	5	12	VR 274	Reinstate Excavation and Highway Surfaces

Flexible Pavement Construction route (three Units required)

SQA ref	SCQF level	SCQF credit points	SSC ref	Title
DY38 04	5	14	VR 375	Lay Flexible Pavement
F6Y5 04	5	7	VR 401	Set Out Secondary Dimensional Work Control
FN2V 04	5	5	VR 643	Move, Handle or Store Resources

Structural Concrete route (three Units required)

SQA ref	SCQF level	SCQF credit points	SSC ref	Title
DY1P 04	5	18	VR 371	Form Concrete Structures
H0X0 04	5	17	VR 640	Erect and Strike Proprietary Formwork
FN2V 04	5	5	VR 643	Move, Handle or Store Resources

Non-structural Concrete route (two Units required)

SQA ref	SCQF level	SCQF credit points	SSC ref	Title
DY6X 04	5	21	VR 45	Place and Finish Non-specialist Concrete
FN2V 04	5	5	VR 643	Move, Handle or Store Resources

Vehicle Fencing route (two Units required)

SQA ref	SCQF level	SCQF credit points	SSC ref	Title
H0X1 04			Fe8	Excavate, Prepare and Form Foundations for Vehicle Restraint Systems
H0X2 04			Fe9	Place and Fix Vehicle Restraint Systems

Incident Support Unit route (three Units required)

SQA ref	SCQF level	SCQF credit points	SSC ref	Title
H0X3 04	5	6	VR 536	Prepare Incident Support Unit Vehicles
H0X4 04	5	6	VR 537	Respond to and Assist with Road-related Incidents
H0X5 04	5	5	VR 538	Install and Remove Emergency Traffic Management

Additional Units (not compulsory)

SQA ref	SCQF level	SCQF credit points	SSC ref	Title
DY9P 04	5	12	VR 172	Reinstate Ground Condition
DY8Y 04	5	11	VR 250	Produce Standard Memorial Stones
DY2A 04	5	9	VR 366	Install Street Ironwork
DY9L 04	5	15	VR 370	Provide Temporary Excavation Support
DY9N 04	5	12	VR 374	Reinstate Excavation and Highway Surfaces
FW1X 04	5	29	VR 391	Operate Plant or Machinery to Receive and Transport Loads
F77W 04	5	20	VR 394	Operate Plant or Machinery to Compact
DY02 04	5	9	VR 396	Direct and Guide the Movement of Plant or Machinery
DX8X 04	5	14	VR 397	Arrange and Secure Loads
DY6K 04	5	7	VR 400	Operate Specialised Powered Tools and Equipment
F00Y 04	5	8	VR 402	Slinging and Signalling the Movement of Loads
FN2V 04	5	5	VR 643	Move, Handle or Store Resources

**SVQ2 Construction Operations and Civil Engineering Services (Construction):
Roadbuilding SCQF level 5 (GF1V 22)**

Mandatory Units

SQA ref	SCQF level	SCQF credit points	SSC ref	Title
FN2J 04	6	12	VR 641	Conform to General Workplace Health, Safety and Welfare
FW0J 04	5	5	VR 642	Conform to Productive Work Practices

Plus one of these optional Units (all routes)

SQA ref	SCQF level	SCQF credit points	SSC ref	Title
DY16 04	5	10	VR 360	Establish Work Area Protection and Safety
F009 04	5	12	VR 365	Segregate the Area for Highways Works

Plus one of the following optional routes

Bituminous Paving (Machine) option route

SQA ref	SCQF level	SCQF credit points	SSC ref	Title
DY34 04	5	49	VR 376	Operate and Control Operations of Road Plant or Machinery (Bituminous Paving Machine)

Concrete Paving (Machine) option route

SQA ref	SCQF level	SCQF credit points	SSC ref	Title
DY36 04	5	49	VR 376	Operate and Control Operations of Road Plant or Machinery (Concrete Paving Machine)

Slurry/Microsurfacing (Machine) option route

SQA ref	SCQF level	SCQF credit points	SSC ref	Title
H0X6 04	5	49	VR 376	Operate and Control Operations of Road Plant or Machinery (Slurry/Microsurfacing Machine)

Slurry/Microsurfacing (Manual) option route

SQA ref	SCQF level	SCQF credit points	SSC ref	Title
H0X7 04	5	55	VR 383	Apply Specialist Road Surfacing Manually (Slurry/Microsurfacing)

Surface Dressing (Machine) option route

SQA ref	SCQF level	SCQF credit points	SSC ref	Title
DY3C 04	5	49	VR 376	Operate and Control Operations of Road Plant or Machinery (Surface Dressing Machine)

High Friction Surfacing (Machine) option route

SQA ref	SCQF level	SCQF credit points	SSC ref	Title
H0X8 04	5	49	VR 376	Operate and Control Operations of Road Plant or Machinery (High Friction Surfacing Machine)

High Friction Surfacing (Manual) option route

SQA ref	SCQF level	SCQF credit points	SSC ref	Title
H0X9 04	5	55	VR 383	Apply Specialist Road Surfacing Manually (High Friction Surfacing)

Planing (Machine) option route

SQA ref	SCQF level	SCQF credit points	SSC ref	Title
DY3F 04	5	49	VR 376	Operate and Control Operations of Road Plant or Machinery (Planing Machine)

Road Recycling (Machine) option route

SQA ref	SCQF level	SCQF credit points	SSC ref	Title
DY3J 04	5	49	VR 376	Operate and Control Operations of Road Plant or Machinery (Road Recycling Machine)

Soil Stabilisation (Machine) option route

SQA ref	SCQF level	SCQF credit points	SSC ref	Title
DY3L 04	5	49	VR 376	Operate and Control Operations of Road Plant or Machinery (Soil Stabilisation Machine)

Surface Retexturing (Machine) option route

SQA ref	SCQF level	SCQF credit points	SSC ref	Title
DY3P 04	5	49	VR 376	Operate and Control Operations of Road Plant or Machinery (Surface Retexturing Machine)

Pavement Marking (Machine) option route

SQA ref	SCQF level	SCQF credit points	SSC ref	Title
DY3V 04	5	49	VR 376	Operate and Control Operations of Road Plant or Machinery (Pavement Marking Machine)

Pavement Marking (Manual operations) option route

SQA ref	SCQF level	SCQF credit points	SSC ref	Title
H0XA 04	5	70	VR 377	Apply and Remove Pavement Markings Manually

Pavement Marking (Road Studs) option route

SQA ref	SCQF level	SCQF credit points	SSC ref	Title
H0XB 04	5	50	VR 378	Install and Remove Permanent Road Studs

Additional Units (not compulsory)

SQA ref	SCQF level	SCQF credit points	SSC ref	Title
DY9P 04	5	12	VR 172	Reinstate Ground Condition
DY8Y 04	5	11	VR 250	Produce Standard Memorial Stones
DY2A 04	5	9	VR 366	Install Street Ironwork
DY9L 04	5	15	VR 370	Provide Temporary Excavation Support

DY9N 04	5	12	VR 374	Reinstate Excavation and Highway Surfaces
FW1X 04	5	29	VR 391	Operate Plant or Machinery to Receive and Transport Loads
F77W 04	5	20	VR 394	Operate Plant or Machinery to Compact
DY02 04	5	9	VR 396	Direct and Guide the Movement of Plant or Machinery (Slinger/Signaller)
DX8X 04	5	14	VR 397	Arrange and Secure Loads
DY6K 04	5	7	VR 400	Operate Specialised Powered Tools and Equipment
F00Y 04	5	8	VR 402	Slinging and Signalling the Movement of Loads
FN2V 04	5	5	VR 643	Move, Handle or Store Resources

An Assessment Strategy for the SVQ

As part of their/its review of the SVQ(s), the standards-setting body ConstructionSkills has developed an Assessment Strategy which defines a range of requirements:

- ◆ the occupational expertise of assessors and verifiers
- ◆ a definition of simulation
- ◆ definition of the workplace
- ◆ information on a model of independent assessment or external quality control

The relevant parts of the Assessment Strategy are published on SQA's website (www.sqa.org.uk), and both SQA and centres must comply with these requirements.

Why would people be interested in the SVQ?

People will take SVQs for a variety of reasons: to gain promotion, to prove their job competence, or for personal development. There will be other reasons too. One of the first things to do is to find out why your candidates want to do the SVQ, and to advise them of the appropriateness of the qualification. If anyone is acting as a coach or mentor to your candidates, they might help you to do this.

How do candidates begin?

Choosing the SVQ

You should make sure that candidates get guidance before starting out on an SVQ — they need advice to ensure that their existing job remit, skills, experience, and their plans for progression, are matched to the SVQ selected. It does not have to be you as the assessor, who carried out the matching process, but whoever has responsibility for this should ensure that the assessment opportunities available to the candidate are also considered.

Example

Tom had worked for many years in the highways/roads maintenance sector as a support worker but did not possess any formal qualifications. He wanted to gain a qualification which would give him national recognition for the skills he has. As Tom has had a lot of experience in kerbing and has good access to highways/roads work sites, the training officer in his company advised him to consider an SVQ2 Construction Operations and Civil Engineering Services (Construction):Highways Maintenance SCQF level 5.

When the training officer matched Tom's job remits, existing skills and experience with the SVQ, it emerged that Tom should be able to generate sufficient evidence to meet the requirements of the following SVQ Units:

- ◆ VR 641 Conform to General Workplace Health, Safety and Welfare
- ◆ VR 642 Conform to Productive Work Practices
- ◆ VR 368 Lay Kerbs and Channels

The training officer arranged for an assessor within the company to provide Tom with guidance on how to collect evidence and construct a portfolio to achieve these Units.

Tom also had some experience in relation to one other Unit. However, some planning was required to provide him with the opportunity to demonstrate competence in that area.

The Unit was:

- ◆ Segregate the Area for Highways Works

The training officer arranged for detailed profiling of Tom's present level of knowledge, understanding and skills pertinent to the above Unit as an integral part of the overall matching process.

Tom had no experience in one Unit, which was:

- ◆ Set out Secondary Dimensional Work Control

Since Tom's job would allow him to conduct all aspects of this Unit, the training officer arranged for Tom to receive formal training, mentoring and guidance on site practice from a senior member of staff.

All people now involved with Tom will contribute to writing a candidate assessment and agreement plan that lists all these arrangements.

2 Preparing to assess the SVQ

This section offers practical advice on how to begin to go about assessing your candidates for the SVQ. This advice is offered as examples of good practice — you may develop your own approaches to assessing your candidates which also work well.

Your role and your candidate's role

Assessing the SVQ will involve several stages. Both you and the candidate should be clear on your roles in the assessment process before you begin.

Your role

- ◆ ensure candidates understand what is to be assessed and how it is to be assessed
- ◆ ensure the conditions and resources required for assessment are available
- ◆ help candidates to identify and gather evidence
- ◆ observe and record candidates carrying out the activities described in the standards — records should say what has been observed, how it was carried out, and what it demonstrates
- ◆ assess products of the candidate's own work
- ◆ question candidates and record results
- ◆ help candidates to present evidence
- ◆ authenticate the evidence candidates provide
- ◆ judge evidence and make assessment decisions
- ◆ identify gaps or shortfalls in candidates' competence
- ◆ provide feedback to candidates throughout the assessment process
- ◆ record achievement

Candidates' role

- ◆ prepare for assessment — become familiar with the standards, what is to be assessed and how it is to be assessed
- ◆ help to identify sources of evidence and how these could be assessed
- ◆ carry out activities, and/or produce products of own work, and/or answer questions
- ◆ gather and present evidence
- ◆ receive and act on feedback from the assessor

Planning

In planning for assessment, you will find it helpful to meet with your candidate and plan what is to be assessed, in what way, and when and where the assessment is to take place. This discussion can be confirmed in the form of an agreed assessment plan between you and your candidate.

You should treat assessment plans as working documents — they can be updated and changed as you review progress with your candidate.

As you are planning assessment, don't forget to make the most of opportunities to *integrate* assessment. This means planning to assess an activity which draws on the contents of different Units or Elements. It can be a practical and cost-effective way of assessing your candidate's competence.

If you are a new assessor working towards your Learning and Development Units (the national standards in assessment and verification) you will need copies of completed assessment plans as part of your evidence.

To help you plan for assessment, we have produced an assessment plan which covers Units VR 367 *Lay Modular Pavement* and VR 401 *Set Out Secondary Dimensional Work Control*.

You will notice that we have included spaces to enter dates when the assessment plan has been reviewed. Any gaps identified during these reviews should be discussed with your candidates and noted for action in the assessment plan.

Assessment plan

Units	VR 367 Lay Modular Pavement				
Activities	Performance Criteria (PC)	Method of assessment/ sources of evidence	Date of assessment	Evidence already available	Links to other Units (PC and range)
For a new build footway assessment opportunity, confirm cavity depth provision for slab and bedding, select, transport, spread, screed and compact paving slab-bedding material.	PC 5	Direct observation Knowledge questioning	05-04-12	Witness testimony Work-placed recorder	VR 401 Set Out Secondary Dimensional Work Control (PC 3) VR 641 Conform to General Workplace Health, Safety and Welfare (PC 2) VR 642 Conform to Productive Work Practices (PC 1)
Questioning for Knowledge and Understanding not apparent from performance to be identified from 2nd review					

Assessor's signature	<u>John Anderson</u>	1st review due	<u>07/04/12</u>
Candidate's signature	<u>Robert Scott</u>	2nd review due	<u>14/04/12</u>
Date of agreement	<u>31/03/12</u>	Date of completion	<u>25/04/12</u>

Selecting methods of assessment

The methods of assessment you use should be valid, reliable and practicable.

- ◆ By *valid* we mean that the assessment method should be appropriate to the standards.
- ◆ By *reliable* we mean that the assessment method should ensure consistent results when used with different candidates, different assessors and on different occasions.
- ◆ By *practicable* we mean that the method ensures that the assessment makes best use of available resources, equipment and time.

Before you assess a candidate, you must make sure that the methods of assessment you have chosen to use, along with any assessment materials (such as questions and sample answers) have been agreed within your centre through its system of internal quality assurance. This system is often called *internal verification* — its purpose is to help to ensure that assessment methods are valid, reliable and practicable.

There are both benefits and challenges when you are assessing SVQs in the workplace, or in conditions of the workplace. When you select methods of assessment, you should try to offer the candidate the benefits of workplace assessment and minimise any potential difficulties.

The benefits might be:

- ◆ familiarity of the work area and equipment, where the candidate feels comfortable with the assessment
- ◆ resources to be used are readily available
- ◆ performance and product evidence will be valid and can be authenticated
- ◆ down time and assessment cost can be reduced

The challenges might be:

- ◆ short notice cancellation of assessment opportunity due to emergencies, plant failure or site failing to meet all the award checklist criteria
- ◆ inclement weather

Example

Candidates conducting maintenance work in a highway/road environment will have to consistently demonstrate their compliance with selecting and using the correct Personal Protective Equipment (PPE) at all times over a range of differing occasions and tasks. Compliance can be confirmed by observation as and when such differing situations and tasks arise. If you are an assessor who is working alongside the candidate, you should be well placed to observe the candidate's performance, perhaps using a prepared checklist, and questioning the candidate about these differing situations afterwards.

Methods of assessment

Assessment may involve a range of assessment methods. For SVQs, some of the most commonly used methods are observation, product evidence, and questioning.

Observation

Observation by an assessor is considered to be the most valid and reliable method of assessment. It can be organised in a variety of ways:

- ◆ working alongside the candidate
- ◆ arranging to visit when naturally-occurring activities are carried out by the candidate
- ◆ arranging for activities to take place

Observation by the assessor can often be supplemented by other types of assessment methods such as questioning. It may be appropriate to ask oral questions of candidates as they carry out naturally-occurring activities. For example:

- ◆ observing a candidate setting a transitional kerb, it may be appropriate to gain a measure of the candidate's understanding of the purpose and function of differing kerb designs by means of questions.
- ◆ observing a candidate excavating, it may be appropriate ask questions on what methods could be employed to avoid trench edge collapse.

Product evidence

As candidates work towards achieving the SVQ, they will produce evidence in the form of products of their work. The nature of this evidence can vary widely depending on what the candidate's job entails, but examples of product evidence include:

- ◆ the running surface texture depth achieved following flexible or rigid pavement construction
- ◆ ironwork set in relation to the finished surface level

Questioning

Candidates have to show that they can meet the knowledge specifications for the SVQs. For these SVQs, Knowledge and Understanding is specified for each Unit. Much of a candidate's knowledge and understanding will be apparent from what they do or produce as part of their work, but this will not always be the case, and questioning can be a useful way of confirming what candidates know and understand.

Questions can be asked in a variety of forms, such as oral questions, short answer written questions, and multiple choice.

You should be careful that the method of questioning does not go beyond the competence required for the SVQ and become a barrier to fair assessment. For example, some candidates will feel more comfortable with oral questions than written.

Q State the most appropriate fire extinguisher to be used on **each** of the following:

- (a) Natural gas
- (b) Electrical
- (c) Solid fuel
- (d) Flammable liquid

- A**
- (a) Natural gas — dry powder
 - (b) Electrical — CO₂ or dry powder
 - (c) Solid fuel — water or foam
 - (d) Flammable liquid — foam or CO₂

Q What is the minimum rolling temperature for hot rolled asphalt surface course (50pen), given that the minimum allowable temperature on arrival at site is 130°C?

- (a) 110°C
- (b) 105°C
- (c) 100°C
- (d) 95°C

- A** (c) 100°C

Other methods of assessment

These methods, like questioning, are often used for authentication. See Section 3 for more about authenticating candidates' evidence.

Personal statements

You might sometimes find it helpful to ask a candidate to give an account of why they did an activity in a certain way or how they produced a product of their work. This is often referred to as a *personal statement*. You should take care to ensure that by asking candidates to produce such statements, you are not asking them to demonstrate competence beyond what is required by the standards. You should also be selective in the use of personal statements, and make sure they have not been produced as a substitute to a more valid, reliable and practical method of assessment.

The candidate could use a personal statement in Unit VR 642 *Conform to Productive Work Practices PC 2* where, for example, an assessor did not witness a naturally-occurring work relationships event, worthy of note, that took place between the candidate and others that fitted well into a PC requirement for that Unit. A personal statement would give candidates an opportunity to show the breadth, depth and grasp of their knowledge and understanding.

Witness testimony

For practical reasons, you may not be able to observe all the activities carried out by your candidates, but might feel that other people may be able to provide a statement on what your candidates have been doing or producing as part of their work. Statements of this kind are called *witness testimony*, and are often used to support other evidence produced by candidates. If witness testimony is used, you should, ideally, identify witnesses and opportunities for using their testimony as part of assessment planning.

You should bear in mind that the weight of the evidence will vary, depending on the knowledge and expertise of the person providing the witness testimony. You will have to take these factors into account as you make your judgement.

Strongest	Someone with considerable occupational expertise in the candidate's area of work and who is familiar with the standards. This person may also be an assessor or internal verifier qualified with the L and D Units, A/V Units or 'D-Units'.
↑	
	Someone with considerable occupational expertise in the candidate's area of work and who is familiar with the standards.
	Someone with considerable occupational expertise in the candidate's area of work, but with no knowledge of the standards.
	Someone who may be a colleague of the candidate, but with no knowledge of the standards.
↓	
Weakest	Someone with no or little knowledge of the candidate's work or no knowledge of the standards.

Witness testimony is unlikely to be sufficient in itself for a decision about the candidate's competence, and would normally be supplemented by questioning candidates.

Example

In Unit VR 642 *Conform to Productive Work Practices*, in PC 1 candidates are required to 'communicate with others to establish productive work relationships'. A witness testimony produced by the candidate's supervisor or employer would be useful as it could detail the candidate's abilities to communicate with others in a wide range of situations.

Photographic evidence

In Construction SVQs, evidence being generated by candidates is often authenticated by the use of photographic evidence. Established best practice is for photographs to be presented in a natural sequence of events with the candidate being visible in the assessment activity. Exact site locations of the activity should be clearly labelled in the photographs with dates, etc.

Simulation

Simulation is any structured assessment exercise involving a specific task which reproduces real-life situations.

On some occasions, it may not be practical to assess a candidate in real work. Examples might be where the standards require candidates to carry out emergency or contingency procedures, or where client confidentiality is an issue, or where a candidate's job role does not cover all aspects of the qualification.

ConstructionSkills has defined what it regards as simulation, and has specified in the standards when simulation is and is not acceptable. The standards also state when candidates must demonstrate competence in the workplace.

For more details on simulation and what constitutes performance in the workplace, look at the Assessment Strategy on SQA's website: www.sqa.org.uk.

Bear in mind that it is only high quality simulation that reflects the reality of the true work environment with all of the attendant constraints and pressures which is acceptable.

Only two differing activities within the award structure can be simulated.

Examples

- ◆ Range statement 2 for Units VR 641, VR 372 and VR 400 requires the candidate to demonstrate 'safe use of fire extinguishers, as appropriate to the fire'. For obvious reasons simulation may be the only way this could be assessed.
- ◆ Range statement 4 for Unit VR 641 requires the candidate to conduct 'organisational procedures in case of accidents and/or fire'. For obvious reasons simulation may be the only way this could be assessed.

Other sources of evidence

Other sources of evidence can be previous experience or learning, case studies or assignments.

SQA's *Guide to Assessment* (see section 5) has more advice on methods of assessment and how to ensure that your assessment is valid, reliable and practicable.

3 Generating evidence

The methods of assessment you use should generate sufficient evidence to demonstrate the candidate's competence.

We described earlier the circumstances in which you might choose to use different methods of assessment. Starting on the next page, this section gives you examples of forms which you can use to record and present evidence of:

- ◆ observation (by the assessor)
- ◆ questions and candidate responses
- ◆ personal statement (produced by the candidate)
- ◆ witness testimony

There are blank forms which you can copy and use in assessment in Appendix 1.

Observation

For observation, note that the form asks you to record the skills and activities observed. This helps you to make a judgement on how the activity was carried out and what it demonstrates.

Observation record

Unit/Element(s)	<u>VR 373 Excavate Holes and Trenches</u>
Candidate	<u>Robert White</u>
Evidence index number	<u>14</u>
Date of observation	<u>11 May 2012</u>

Skills/activities observed	Performance Criteria covered
<p>Robert had all the appropriate road opening permits pertinent to the works, his risk assessment highlighted areas of underground services, PPE, mechanical tool and road working risks, which he and his team had to mitigate.</p>	<p>PC 1 Ranges 1 and 2</p>
<p>Position, location and extent of proposed flexible carriageway was correctly established and delineated.</p>	<p>PC 2, 3 and 4 Ranges 1, 2, 3, 4 and 5</p>
<p>All layers of flexible pavement structure were excavated using the most appropriate tooling and methods to sub-grade level of total depth 950mm, differing material classification was correctly identified, placed on site and kept clear of spoil, awareness of underground services and constant use of electronic service detector was noted.</p>	<p>PC 2, 4 and 5 Ranges 2, 3, 4 and 5</p>
<p>Throughout the entire operation Robert and his team displayed awareness that they were working in a segregated area of public highway with all the associated dangers. Signs and barriers were constantly checked and repositioned when necessary.</p>	<p>PC 2, 4 and 5 Ranges 2 and 4</p>
<p>Works were conducted safely within the given time constraints. All tooling, plant, equipment and materials were checked for defects prior to commencement of works.</p>	<p>PC 6, Ranges 2, 3, 5 and 6</p>

Knowledge and Understanding apparent from this observation

K2, K4, K6, K8, K9, K10a, K11, K12, K13, K14, K15a, K16 and K17

Knowledge and Understanding not apparent from this observation

K1, K3, K5, K7, K10b and K15b

Other Units/Elements to which this evidence may contribute

VR 641, VR 642, VR 365, VR 370, Fe 1 and VR 43

Assessor's comments and feedback to candidate

Robert, I was particularly pleased to see that the road opening permit with its associated strict conditions and the thorough risk assessment you conducted was the basis on which you planned the organisation and execution of the works. Time management and safety was a high priority on the day where both you and your team demonstrated the skills, attitude and disciplines that would be expected of a responsible road worker.

I would ask you to obtain a copy of all non-sensitive documentation you made reference to or used today and place them in the supporting evidence section of your portfolio for evidence cross-referencing purposes.

Assessor-devised question to cover K1, K3, K5, K7, K10b and K15b will be conducted at our next planned event on 15 May 2012.

I can confirm the candidate's performance was satisfactory.

Assessor's signature William Russell **Date** 11 May 2012

Candidate's signature Robert White **Date** 11 May 2012

Questions and candidate responses

This form can be used to record any questions you might ask the candidate to establish what they know and understand. You should note the candidate's responses on this form too.

Note that there is a space near the top of the form for you to record when, where, how and why you asked the questions.

Where you want to give the candidate written questions, this form could also be used.

Record of questions and candidate's answers

Unit	VR 373 Excavate Holes and Trenches
Evidence index number	15
Circumstances of assessment	
Following an assessment opportunity of direct observation of the candidate working upon the carriageway, where knowledge and understanding (Performance Criteria) K1a and b, K3, K5, K7, K10b and K15b were not apparent during observation, the following assessor-devised questions were used.	
List of questions and candidate's responses	
Q	What action would you take if the road opening permit indicated a differing starting date to the date you were intending to carry out the works? (K1a)
A	I would delay works until the permit was checked by the planning officer and await written instructions.
Q	What action would you take if a road-saw with a concrete cutting disc has been provided for surface layer delineation works on a flexible design carriageway? (K1b)
A	I would not use the disc. I would have it replaced with a disc suitable for cutting bituminous bound materials.
Q	Give at least three examples of how the provisions made for works to take place on a 31 to 40mph inclusive single carriageway had been mistakenly planned for a 30mph or less single carriageway. (K3)
A	Sign sizes, and sign distance placement would be wrong. Long ways clearance distance would need to be increased from 0.5m to 15m. Risk assessment would need to take into account the increased speed limit of the road. Increased length of lead taper would be required.
Q	What method should be used to dispose of a weedkiller spill contaminating a small amount of soil? (K5)
	<ul style="list-style-type: none"> A Mix it in with non-contaminated soil. B Drench the area with water. C Bag and label the contaminated soil for appropriate disposal. D Light a fire over the contaminated soil.
A	C Bag and label the contaminated soil for appropriate disposal.

Q	Who is responsible for and what are your company's requirements for the reporting of accidents? (K7)
A	All employees are responsible for the reporting of incidences, near misses or accidents. The name, pay number/s of person/s involved, time, place and date, nature of the injury, incident, witnesses and any statements taken should be noted.
Q	What action should be taken where a road-saw with its safety guard missing is delivered to site for use? (10b)
A	I would inform all team members not to use it, I would tag the equipment as being defective and would inform the supervisor and arrange for a replacement road-saw.
Q	What action should be taken where during excavation of a flexible design carriageway it was noted that the 'base (road-base)' layer of structure had previously been constructed with cement-bound materials? (15b)
A	Alter excavation tooling and methods to suit, report to supervisor for road design to be reclassified as 'composite'.

Assessor's signature William Russell **Date** 15 May 2012

Candidate's signature Robert White **Date** 15 May 2012

Candidate's personal statement

If a personal statement is being used as evidence, it should be completed by the candidate. The statement should record what they did, how and why they chose to carry out an activity or produce work in a certain way. Where other people may have been present during an activity and they may be able to provide witness testimony, the candidate should record how the statement links to other evidence in the column provided.

Personal statement

Date	Evidence index number	Details of statement	Links to other evidence (enter numbers)	Unit, Elements, Performance Criteria, Performance statements, scope covered
10-03-12	3	<p>Following emergency works undertaken in Main Street, Anytown, it became apparent that the newly created worksite was in close proximity to pubs, clubs and discos, in what's known as a lively part of town, where none of the usual pre-planning for vandalism, alcohol-related or high-spirited contingency measures had taken place.</p> <p>As the emergency site had excavations in block paved areas, I arranged to have all the blocks and stones greater than 30mm removed from site as they had the potential to be used as missiles. I arranged for lock-fast rigid barriers to completely surround the work area. I had the excavation covered by heavy steel plates and all warning signs were given extra bags of sand to weigh them down. I covered excavated road materials and spoil with plastic sheeting weighted down all round with sand bags.</p>	<p>Witness testimony written by Mr John Bright (Evidence no 6)</p> <p>Emergency job documentation (Evidence no 7)</p>	<p>VR 641 <i>Conform to General Workplace Health Safety and Welfare</i> PC 3, Range 3b, c and d</p>

		<p>I contacted control and requested police be informed of the works location and provide extra night patrol attendance. I informed surrounding businesses of our out-of-hours telephone number. The team and I thoroughly swept the area as clean as possible and removed all unnecessary plant and equipment, leaving the site as small and bare as possible.</p>		
--	--	---	--	--

Candidate's signature Robert White

Date 15 March 2012

Witness testimony

Remember when you begin to use witness testimony that it must be capable of being authenticated — even if the testimony itself is being used to authenticate a candidate's claim to competence.

To make sure the witness testimony is genuine, you must ensure that you have a record of who is acting as a witness, their relationship to the candidate (eg supervisor, client) address, telephone number and the date. There are spaces for this information in the form.

Witness testimony

SVQ title and level	SVQ2 Construction Operations and Civil Engineering Services (Construction): Highways Maintenance SCQF level 5
Candidate's name	Robert White
Evidence index no	6
Index no of other evidence which this testimony relates to (if any)	3
Element(s)	PC 3, Range 2, 3 and 4
Date of evidence	13 March 2012
Name of witness	Mr John Bright
Designation/relationship to candidate	Anytown Road Works Superintendent
Details of testimony	
<p>I was informed by control of the emergency works that had taken place in Main Street, Anytown, in an area that would be classed as 'works vulnerable'.</p> <p>I made it a high priority to visit the site where I could see all the good measures taken by Robert to minimise the site impact on the surrounding area and businesses.</p> <p>I would highly commend Robert's initiative, technical and procedural correctness in his securing the site to minimise unauthorised entry, damage, vandalism, danger or loss of integrity to the worksite. I reiterated and extended Robert's initiative for the site to be given more night patrol visits.</p> <p>I further visited the site over the remaining weekend where I can confirm that due to measures taken on site by Robert, plus the presence of increased night patrolling, resulted in the site not being damaged or being the route cause of any increased local disturbances in that area.</p> <p>When asked to specifically comment on Robert's actions in regard to Unit VR 641, PC 3 'Comply with and maintain all organisational security arrangements and approved procedures', I would highly commend Robert's organisational and procedural abilities to secure this non-routine/complex site to such a standard I would say more than satisfies the Unit PC requirements.</p>	

I can confirm the candidate's performance was satisfactory.

Witness's signature *J I Bright* **Date** 13 March 2012

Witness (please select the appropriate box):

- Holds L and D Unit 9D/9D1, A1/A2 or D32/D33 qualifications
- Is familiar with the SVQ standards to which the candidate is working

Filling the gaps

There may come a time when your candidate has provided evidence for most of the Unit (or SVQ), but there are some gaps. For example, you may find that certain situations, such as handling contingencies, have not arisen during assessment. Often these will relate to dealing with health and safety issues, or unexpected problems with workflow like delays in receiving information from another part of the organisation.

In this SVQ, such gaps are likely to occur in generating evidence for VR 374 *Reinstate Excavation and Highway Surfaces*, Range 4a 'Dispose of waste in accordance with legislation'. It is an area that cannot be simulated. However, you may be able to overcome this by going outwith your departmental or immediate occupation boundaries to gain the necessary work-based assessment opportunity.

Guidance and support to candidates

At all times during the assessment process — from planning through to making your assessment decision — feedback should be ongoing, clear and constructive. Feedback should be given against the national standards by relating it to the evidence provided, including the knowledge specifications.

Where there are any shortfalls in a candidate's competence, you should discuss these with your candidate and make plans for re-assessment.

Judging candidate evidence and making an assessment decision

In judging candidate evidence, you must be satisfied that your candidates can work consistently to the required standard, and that the evidence they have produced is their own. You must consider whether your candidate understands and applies the knowledge evidence and how this links to performance evidence.

Evidence must:

- ◆ be relevant to the SVQ
- ◆ be authentic
- ◆ show current competence
- ◆ be sufficient to help you form a decision about the candidate's competence

Insufficient evidence

You have to judge whether the candidate has produced enough evidence required by the standards for you to reach a decision about their evidence.

Where there is insufficient evidence, you should say this to your candidate. You should tell them that it is not that they are not yet competent — there is simply not enough evidence on which to make a decision.

In this situation, your feedback to your candidates must help them produce more evidence and/or plan for further assessment.

Authenticating candidates' evidence

Authentication is required where you have not observed candidates' performance at first hand.

You can check whether a candidate has produced evidence which they claim shows their competence by questioning them or, if this is appropriate, asking them to produce a personal statement, using witness testimony, or seeking peer reports from other colleagues of the candidate.

Example

Where an assessor is not available to observe a candidate producing evidence at first hand, there must be a well-understood procedure in place to authenticate the candidate's work. For example, a candidate may have recognised during emergency works an ideal opportunity to gain otherwise hard to come by or rarely occurring events. It is most likely that the use of a personal statement, witness testimony, photographs and candidate questioning would be the main methods used to establish authenticity.

4 Recording achievement

You should retain all evidence — clearly referenced — for internal and external verification.

The candidate's evidence is normally kept in a file, often called a *portfolio*. These documents help you and your candidates to collect, present and cross-reference the evidence to the national standards. They are also a means of recording your assessment decisions, and they tell an External Verifier what stage a candidate has reached in achieving the SVQ.

An SQA document, *Candidate Guidance and Portfolio*, specific to the SVQs in Highways Maintenance and Roadbuilding at SCQF level 5 is available for purchase. This publication contains many of the control and recording documentation for use by both the assessor and candidate where collectively they have the potential to form the basis for the production of a well-ordered candidate portfolio of evidence.

Recording documents do not need to be paper-based — it is possible to use an electronic format for collecting and structuring the evidence. Whatever format you and your candidates choose to use, the documents must show what evidence was generated, the assessment decisions you made, how the evidence meets the standards, and where the evidence can be located. You should avoid photocopying items simply to put them in a portfolio — a clear explanation of where the evidence can be found (for example, in a filing cabinet) may be sufficient for the External Verifier to follow it up and include it in the visit.

There are various reasons why record-keeping is so important:

- ◆ it provides a way of tracking a candidate's progress in achieving an SVQ
- ◆ it helps candidates to make claims for certification of their competence
- ◆ internal verifiers and External Verifiers use the records to sample assessment decisions
- ◆ it helps us to monitor the quality assurance of our qualifications

If your candidates' evidence is incomplete, or cannot be located, or if there is inaccurate cross-referencing to the standards, there is a risk that an internal verifier or External Verifier will be unable to confirm your assessment decisions.

To help you and your candidate present evidence and record your assessment decision, we have provided examples of the forms which you and your candidate might use to compile the portfolio.

- ◆ Completing the Unit progress record
- ◆ Using the evidence index
- ◆ Completing the Element achievement record

These forms are also used in SQA's portfolio.

Completing the Unit progress record

You should complete this form each time your candidate achieves a Unit from the SVQ by adding your signature and the date next to the relevant Unit.

At this stage, candidates should make sure they have completed the recording documents correctly and that their evidence can be easily located. Only then should they circle the relevant Unit number at the top of the form. This enables both of you to see at a glance what stage the candidate is at in their SVQ.

Unit progress record

Qualification and level SVQ2 Construction Operations and Civil Engineering Services (Construction): Highways Maintenance SCQF level 5

Candidate Robert White

To achieve the whole qualification, you must prove competence in 3 **mandatory** Units and 3 **optional** Units.

Unit checklist

Mandatory	VR 641	VR 642	VR 365				
Optional	VR 366	VR 375	VR 401				

Mandatory Units achieved

Unit number	Title	Assessor's signature	Date
VR 641	Conform to General Workplace Health Safety and Welfare	<i>W Russell</i>	<i>12 February 2012</i>
VR 642	Conform to Productive Work Practices	<i>W Russell</i>	<i>15 February 2012</i>

Optional Units achieved

Unit number	Title	Assessor's signature	Date
VR 366	Install Street Ironwork	<i>W Russell</i>	<i>14 February 2012</i>

Using the index of evidence

The purpose of the index of evidence is to help you locate and work through the candidate's evidence. It should give you a summary of what evidence the candidate has collected, and where (eg in a portfolio) it can be found.

The index of evidence should be completed by entering:

- ◆ the index number for each piece of evidence
- ◆ a description of each piece of evidence
- ◆ the place or location where it can be found
- ◆ the initials of the internal verifier and the date (if they have sampled the candidate's evidence)

Ideally, it should be candidates themselves (with your support and encouragement) who complete the index.

You must make sure that the information in the evidence index is accurate when your candidates' portfolios are presented for assessment and verification — particularly the information about where the evidence can be located. This is important because we suggest that anything which has been produced as day-to-day work is kept in its normal location, but anything which has been produced through assessment for the SVQ, eg observation checklists, is filed in the candidate's portfolio. In this way, your candidate can avoid having to photocopy work products just for the sake of including them in a portfolio. It also means that evidence produced as a result of assessment is kept safely in a central file.

If the index of evidence is not completed with an accurate description and location of the evidence, there is a risk that an internal verifier or External Verifier might be unable to confirm your assessment decisions.

Completing the Element achievement record

To help you and your candidates cross-reference the evidence to the standards of the SVQs, we have provided records similar to those produced in the SQA portfolio. Use one record for each Element. The grids should be completed by:

- ◆ entering the evidence index number in the first column
- ◆ giving a brief description of the evidence in the second
- ◆ ticking the relevant boxes for the Performance Criteria (or statements of competence as they are sometimes known)
- ◆ entering the areas of knowledge and understanding the piece of evidence covers

If integrated assessment is used (linking PC or Elements across different Units) the evidence should be cross-referenced back to the relevant Units.

We have provided a completed example to show how to use the record.

Element achievement record

Unit VR 641 Conform to General Workplace Health, Safety and Welfare

Evidence index no	Description of evidence	PC/performance statements						Areas of Knowledge and Understanding/scope																
		1	2	3	4			1	2	3	4	5	6	7	8									
14	Observation	✓	✓	✓	✓			✓	✓				✓	✓	✓									
16	Simulation			✓						✓														
15	Questions/responses										✓	✓												
6	Witness testimony			✓	✓						✓			✓										

Unit VR 641 Conform to General Workplace Health, Safety and Welfare

Notes/comments

Performance evidence was gathered from four different sites, where seven differing lifting activities took place over a period of time. The candidate had to deal with differing types of lifting plant and operators, three of these occasions being observed and recorded by an assessor and the fourth event being presented as a witness statement, all the associated range and knowledge requirements having been met.

The candidate has satisfied the assessor and internal verifier that the performance evidence has been met.

Candidate's signature	<u>Robert White</u>	Date	<u>09/05/12</u>
Assessor's signature	<u>Allan Smith</u>	Date	<u>09/05/12</u>
Internal verifier's signature	<u>Steven Roberts</u>	Date	<u>11/05/12</u>

5 Further information

What else should I read?

The publications listed here provide additional information on how to implement SVQs. Details of these and other SQA publications are available on our website at **www.sqa.org.uk** on the 'Publications, Sales and Downloads' section. They can be ordered from SQA's Business Development and Customer Support Team — telephone 0303 333 0330. Please note that there may be a charge for some of these publications.

Assessor/Verifier Units: assessment guidance

External Verification: A Guide for Centres

Guide to Assessment

Introduction to Assessment Arrangements for Schools and Colleges

SQA's Quality Framework: a guide for centres

Operational Help Centre

The Operational Guide for Centres has been replaced by the online Operational Help Centre on **www.sqa.org.uk**

Appendix 1: Blank recording forms

Unit progress record

Qualification and level _____

Candidate _____

To achieve the whole qualification, you must prove competence in xx **mandatory** Units and xx **optional** Units.

Unit checklist

Mandatory									
Optional									

Mandatory Units achieved

Unit number	Title	Assessor's signature	Date

Optional Units achieved

Unit number	Title	Assessor's signature	Date

Unit

Element

Notes/comments

The candidate has satisfied the assessor and internal verifier that the performance evidence has been met.

Candidate's signature _____

Date _____

Assessor's signature _____

Date _____

Internal verifier's signature _____

Date _____

Assessment plan

Units					
Elements					
Activities	Performance Criteria (PC)	Method of assessment/ Sources of evidence	Date of assessment	Evidence already available	Links to other Units (Performance Criteria and Range)
Questioning for Knowledge and Understanding not apparent from performance to be identified from 2nd review					

Assessor's signature _____

1st review due _____

Candidate's signature _____

2nd review due _____

Date of agreement _____

Date of completion _____

Personal statement

Date	Evidence index number	Details of statement	Links to other evidence (enter numbers)	Unit, Elements, Performance Criteria, Performance statements, scope covered

Candidate's signature _____

Date _____

Observation record

Unit/Element(s) _____

Candidate _____

Evidence index number _____

Date of observation _____

Skills/activities observed	Performance Criteria covered

Knowledge and Understanding apparent from this observation

Other Units/Elements to which this evidence may contribute

Assessor's comments and feedback to candidate

I can confirm the candidate's performance was satisfactory.

Assessor's signature _____ **Date** _____

Candidate's signature _____ **Date** _____

Record of questions and candidate's answers

Unit	
Element(s)	
Evidence index number	
Circumstances of assessment	
List of questions and candidate's responses	
Q	
A	

Assessor's signature _____ **Date** _____

Candidate's signature _____ **Date** _____